



Notice

To: Mary Leslie, Chair, ISO Board of Governors
Andrew Campbell, Chair, WEIM Governing Body

From: Roger Collanton, Vice President, General Counsel and Corporate Secretary

Date: September 1, 2023

Re: Notice of Planned Decisional Classification for the initiative called “Rules of Conduct Enhancements – Track 1”

Any objection by the Chairs to this decisional classification is due by September 8, 2023.

This is a formal notice of Management’s decisional classification for the initiative called “Rules of Conduct Enhancements – Track 1.” It aims to enhance the process for meter data penalties, and additional procedural issues related to missing meter data and the distribution of penalty payments. Management believes that this item falls within the joint authority of the WEIM Governing Body and the ISO Board of Governors because the tariff rule changes would apply to WEIM participants.

Any objection by the Chairs to this decisional classification is due by Friday, September 8, 2023. Otherwise, the initiative will be presented for decision consistent with this classification.¹

The proposed tariff rule changes contemplated in this initiative are “applicable to EIM Entity balancing authority areas, EIM Entities, or other market participants within EIM Entity balancing authority areas, in their capacity as participants in EIM.” Therefore, the decision to approve these changes falls within the scope of joint authority.

Written stakeholder comments on the draft final proposal were due August 15, 2023. There were no objections to the decisional classification in the draft final proposal.

The draft final proposal for this initiative is located [here](#). Additional relevant papers for this initiative are located [here](#).

¹ The process is described in the “Guidance Document,” available [here](#).